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Attorney Docket No. 108298404US2 Disclosure No. 99-0319.02/US

PTO/SB/21 (05-03)

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10/772,541

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Application Number

IKANSIVIIIIAL			iling Date	February 5, 2004			
FORM			irst Named Inventor	Vishnu K. Agarwal			
(to be used for all correspondence after initial filing)) A	rt Unit	1755			
		E	xaminer Name	(To Be Assigned)		ed) ·	
Total Number of Pages in This Submission 4		Α	ttorney Docket Number	108298404US2		2	
	EN	CLOSU	IRES (Check all that ap)	oly)			
Fee Transmittal Fo		Drawing(s)			After Allowance communication to Group		
☐ Fee Attached ☐ Amendment/Reply		Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation		Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final							
Affidavits/declaration(s)					Proprietary Information		
Extension of Time Request		Change of	of Correspondence Address		Status Letter		
Express Abandonment Request		Terminal	Disclaimer		− 7l Other F	inclosures:	
Information Disclosure Statement		Request for Refund			Form PTO-1449		
Certified Copy of Priority Document(s)		CD, Number of CD(s)		Return Receipt Postcard			
Response to Missing Parts/ Incomplete Application		marks			÷`, ;		
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name David T. Dutcher							
Signature Dan Duli							
Date December 27, 2004							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Stephen P. Whelan							
Signature Stephen P. While Lan Date December 2			December 27, 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 108298404US2 Disclosure No. 99-0319.02/US

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Date: December 27, 2004

By: Stephen P. Whelan

Stephen P. Whelan

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

VISHNU K. AGARWAL AND

EXAMINER: (TO BE ASSIGNED)

APPLICATION No.:

10/772,541

1755

8900

APPLICATION

10/112,041

DINESH CHOPRA

CONF. NO:

ART UNIT:

FILED:

FEBRUARY 5, 2004

For:

APPARATUSES FOR FORMING A

PLANARIZING PAD FOR PLANARIZATION OF

MICROELECTRONIC SUBSTRATES

<u>Supplemental Information Disclosure Statement</u> <u>Within Three Months of Application Filing or</u> <u>Before First Action – 37 C.F.R. § 1.97(b)</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. <u>Cited Information</u>

Copies of the cited U.S. Patents have not been provided.

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Date: Dec. 27,2004

Respectfully submitted, Perkins Coie LLP

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Attorney Docket No. 108298404US2 Disclosure No. 99-0319.02/US

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 (Modified) (Use several sheets if necessary)

COMPLETE IF KNOWN				
Application Number	10/772,541			
Confirmation Number	8900			
Filing Date	February 5, 2004			
First Named Inventor	Vishnu K. Agarwal			
Group Art Unit	1755			
Examiner Name	(To Be Assigned)			
Attorney Docket No.	108298404US2			

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent or Application Kind Code NUMBER (if known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		5,782,682	Han et al.	07/21/1998				
		6,110,015	Christianson et al.	08/29/2000				
		6,332,832 B1	Suzuki	12/25/2001				

EXAMINER		DATE CONSIDERED	
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in		
	conformance and not considered. Include copy of this form with next communication to application(s).		

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Date: December 27, 2004

By: Stephen P. Whelow

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